

1 **Title page**

2 Title: One week CF intake increases prefrontal cortex oxygenation at rest and during moderate-  
3 intensity exercise in normoxia and hypoxia

4 Running head: Cocoa flavanols intake and exercise in hypoxia

5 Author names: Lieselot Decroix, Cajsá Tonoli, Elodie Lespagnol, Costantino Balestra, Amandine  
6 Descat, Marie José Drittij-Reijnders, Jamie R Blackwell, Wilhelm Stahl, Andrew M Jones, Antje  
7 R. Weseler, Aalt Bast, Romain Meeusen, Elsa Heyman.

8 Author's contribution: LD, ARW, AB and RM designed research; LD, CT, EL, AD, MJDR, JRB,  
9 WS, AJ and ARW conducted research; CB, AMJ, AB and RM provided essential reagents and  
10 performed analyses; LD analyzed data or performed statistical analysis; LD, RM and EH wrote  
11 paper; EH had primary responsibility for final content.

12 Author affiliations:

13 *Human Physiology research group, Faculty of Physical Education and Physical Therapy, Vrije*  
14 *Universiteit Brussel, BELGIUM (LD, RM)*

15 *EA 7369 - URePSSS - Unité de Recherche Pluridisciplinaire Sport Santé Société, Univ. Lille, F-*  
16 *59000 Lille, FRANCE (LD, EL, EH)*

17 *Department Rehabilitation sciences and kinesitherapy, Faculty of Physical Education and*  
18 *Physical Therapy, Universiteit Gent, BELGIUM (CT)*

19 *Department of Environmental, Occupational & Aging Physiology, Haute Ecole Paul Henri*  
20 *Spaak, BELGIUM (CB)*

21 *Center of measurements and analysis (CMA), Faculty of Pharmaceutical Sciences, Université de*  
22 *Lille, FRANCE (AD)*

23 *Department of Pharmacology and Toxicology, Maastricht University, Maastricht, THE*  
24 *NETHERLANDS (MJDR, ARW, AB)*

25 *Sports and Health Sciences, College of Life and Environmental Sciences, St. Luke's Campus,*  
26 *University of Exeter, UNITED KINGDOM (AMJ)*

27 *Institute of Biochemistry and molecular biology I, Faculty of Medicine, Heinrich-Heine-*  
28 *Universität Düsseldorf, Düsseldorf, GERMANY (WS)*

29

30 Corresponding author:

31 Dr. Elsa Heyman

32 EA 7369 - URePSSS

33 EURASPORT, 413 rue Eugène Avinée,

34 59120 Loos, France

35 **(Tel) 0033 - 6 78 95 99 55**

36 [elsa.heyman@univ-lille2.fr](mailto:elsa.heyman@univ-lille2.fr)

37 Clinical Trial Registry number and website: *NCT03135314* clinicaltrail.gov

38

## 39 **Abstract**

40 *Introduction:* During exercise in hypoxia, O<sub>2</sub> delivery to brain and muscle is compromised and oxidative  
41 stress is elicited. Cocoa flavanols (CF) have antioxidant capacities and can increase blood flow by  
42 stimulating endothelial function. We aimed to examine the effects of 7-day CF intake on oxidative stress,  
43 nitric oxide production and tissue oxygenation in response to exercise in normobaric hypoxia (14.3 % O<sub>2</sub>).

44 *Methods:* In a randomized, double blind, cross-over study, 14 well-trained male cyclists completed 4  
45 trials: exercise in normoxia or hypoxia, after 7-day CF or placebo intake. Flow-mediated dilation (FMD)  
46 was measured before intake of the last dose CF or placebo. One hundred minutes later, 20-minute steady-  
47 state (SS, 45% VO<sub>2</sub>max) and 20-minute time trial (TT) (cycling) were performed. Blood samples were  
48 taken. Prefrontal and muscular oxygenation were assessed by near-infrared spectroscopy.

49 *Results:* At baseline, FMD was increased by CF. Hypoxia increased exercise-induced elevations in lipid  
50 peroxidation and antioxidant capacity. CF suppressed exercise-induced lipid peroxidation, but did not  
51 influence antioxidant capacity. At rest and during SS, prefrontal and muscular oxygenation were  
52 decreased by hypoxia. CF elevated prefrontal oxygenation, but did not impact muscular oxygenation.  
53 During TT, hypoxia accelerated the exercise-induced decrease in prefrontal oxygenation, but not in  
54 muscular oxygenation. During TT, CF didn't alter prefrontal and muscular oxygenation. CF did not  
55 change plasma nitrite, nitrate and arginine:citrulline.

56 *Conclusion:* During high-intensity exercise, CF did neither improve tissue oxygenation, nor performance  
57 in well-trained athletes. At rest and during moderate-intensity exercise, CF reduced exercise-induced lipid  
58 peroxidation and partially restored the hypoxia-induced decline in prefrontal oxygenation.

59 *Keywords:* cocoa, altitude, exercise, oxidative stress, endothelial function

60 *New and noteworthy:*

61 For the first time, we showed that CF had beneficial effects on endothelial function at rest, as  
62 well as on prefrontal oxygenation at rest and during moderate-intensity exercise, both in

63 normoxia and hypoxia. Moreover, we showed that CF intake inhibited oxidative stress during  
 64 exhaustive exercise in hypoxia.

65 Glossary:

66	- O <sub>2</sub>	Oxygen
67	- F <sub>I</sub> O <sub>2</sub>	Fraction of inspired oxygen
68	- NO	Nitric oxide
69	- eNOS	Endothelial nitric oxide synthase
70	- ROS	Reactive Oxygen Species
71	- CF	Cocoa flavanols
72	- FMD	Flow mediated dilation
73	- EC	Epicatechin
74	- PL	Placebo
75	- H	Hypoxia
76	- N	Normoxia
77	- SS	Steady State
78	- TT	Time trial
79	- HR	Heart rate
80	- SaO <sub>2</sub>	Oxygen saturation
81	- AMS	Acute mountain sickness
82	- NIRS	Near-Infrared Spectroscopy
83	- DPF	Differential pathlength factor
84	- TSI	Tissue saturation index
85	- TEAC	Trolox equivalent antioxidant capacity
86	- MDA	Malondialdehyde
87	- MAP	Mean arterial pressure
88	- UA	Uric acid
89	- Arg:citr	Arginine over citrulline ratio

90

91

## 92 **Introduction**

93 Several sports such as skiing, mountaineering, and sometimes cycling and running involve  
94 exercise at altitude. The lower barometric pressure at altitude reduces the partial pressure of  
95 inspired oxygen, which results in reductions of O<sub>2</sub> delivery to the active muscles and the brain  
96 (38) and elicits the formation of reactive oxygen species (ROS) (26). This leads to a faster  
97 development of peripheral and central fatigue, resulting in decreased exercise performance (43).  
98 Thus, enhancing O<sub>2</sub> delivery by improving blood flow at altitude could improve tolerance to  
99 physical exercise and recovery thereafter.

100 One of the key molecules regulating blood flow is nitric oxide (NO). NO is endogenously  
101 produced by the conversion of arginine into citrulline by endothelial NO synthase (eNOS), in the  
102 presence of O<sub>2</sub>. NO exerts its vasodilatory function via stimulating guanylate cyclase and  
103 relaxing smooth muscle cells. eNOS-dependent NO production can be limited in conditions of  
104 low O<sub>2</sub> availability and high levels of oxidative stress (29, 33). Besides, oxidative stress decreases  
105 NO availability by increased NO degradation through the reaction of NO with superoxide, the  
106 precursor of most other ROS, to form peroxynitrite (5). Both exercise (32) and hypoxia (26)  
107 independently elicit the formation of ROS. While ROS have important roles in cell signalling,  
108 apoptosis, gene expression and ion transport, excessive ROS leads to oxidative modification and  
109 damage of DNA, RNA, proteins and lipids. In the context of exercise, especially at altitude, the  
110 excessive ROS formation can lead to impaired muscle contractile and mitochondrial function,  
111 resulting in faster development of exercise-induced muscle fatigue and a decreased NO  
112 availability (32).

113 It has been reasoned that modulating NO metabolism by nutritional interventions may influence  
114 physiological responses to exercise and thus exercise performance in both normoxia and hypoxia

115 (9). Dietary nitrate supplementation, for example via beetroot juice, has beneficial effects on NO  
116 availability, muscle oxygenation and exercise performance (9), but other supplements hold  
117 promise to increase NO availability, too. The intake of cocoa flavanols (CF), a subgroup of  
118 polyphenols with antioxidant capacities causes NO-mediated vasodilatation, clinically measured  
119 by flow-mediated dilation (FMD) (16, 19). *In vitro* and *in vivo* data showed that (-)-epicatechin  
120 (EC), the main bioactive constituent of cocoa, increased nitrite concentration, an indirect marker  
121 of eNOS dependent NO production (6, 23). Furthermore, CF and/or their metabolites are strong  
122 antioxidants, by directly scavenging superoxide, inhibiting NADPH oxidase (37) and/or by  
123 modulating the endogenous antioxidant defence (34).

124 Despite the existing evidence of the beneficial effects of CF on endothelial function and oxidative  
125 stress, few studies investigated the possibilities of CF to modulate exercise-induced oxidative  
126 stress and/or exercise performance (2, 8, 13, 15, 31, 41, 42, 44). Because of the large variety of  
127 study designs, subject samples, type of exercise and timing and dosages of CF intake and used in  
128 these studies, results concerning the CF-induced decrease in oxidative stress after exercise are  
129 inconsistent. These studies were all performed at sea level. However, it may be conceivable that  
130 this nutritional strategy is more efficient in hypoxia, where O<sub>2</sub> delivery is reduced and where  
131 ROS formation is exaggerated.

132 Consequently, the objectives of this study were to investigate the effects of a 7-day CF intake on  
133 (i) selected plasma markers of NO availability and oxidative stress, (ii) muscle and cerebral  
134 oxygenation in response to an acute exercise bout in normoxia (sea level) and normobaric  
135 hypoxia (simulated altitude of 3000 m, 14.3 % O<sub>2</sub>) and (iii) on the implications for exercise  
136 performance. We hypothesized that, compared to placebo (PL), CF intake would increase NO

137 availability, decrease oxidative stress and increase cerebral and muscular oxygenation during  
138 exercise in normoxia and hypoxia and enhance exercise performance.

## 139 **Materials and methods**

### 140 *Participants*

141 A sample size calculation, based on the results of Allgrove et al.(1), Patel et al. (31) and  
142 Wiswedel et al.(44), indicated that 14 subjects were required to detect differences at P value  
143  $p < 0,05$  with 90 % power. The recruitment started in January 2016. Subjects were excluded when  
144 (i) younger than 18 years or older than 36 years, (ii) smoking or smoking in the past, (iii) took  
145 antioxidant supplementation, (iv) trained less than 10 h per week, (v) had stayed at high altitude  
146 ( $> 2000\text{m}$ ) for more than 3 weeks during the last 6 months, or (vi) if the medical examination  
147 prior to the experiment revealed they were hypertensive or had cardiovascular disease. Fifteen  
148 healthy well-trained male cyclists were selected for participation in this study. One subjects  
149 dropped out because of an injury (knee injury). The study was approved by the UZ Brussel Ethics  
150 Committee and was in accordance with the declaration of Helsinki. The experimental procedures  
151 and potential risks were explained to the participants and a written informed consent was  
152 provided and signed before the start of the study. This trial was registered at [clinicaltrials.gov](https://clinicaltrials.gov) as  
153 NCT03135314 and this manuscript is compliant with CONSORT (Consolidated Standards for  
154 Reporting Trials).

### 155 *Study design*

156 A randomized, placebo controlled, counter-balanced, cross-over study design was used. The  
157 study was conducted at the Department human physiology of the Vrije Universiteit Brussel  
158 (VUB, Brussels, Belgium) from March 2016 until July 2016. On the first lab visit, subjects

159 underwent a complete medical screening (including skinfolds measures) and performed a  
160 maximal incremental cycle test on an electromagnetically braked cycle ergometer (Lode  
161 Excalibur Sport, Groningen, The Netherlands). During this test, initial work rate was set at 80W  
162 and work rate was then increased every 3 minutes by 40 W until volitional exhaustion. Maximal  
163 oxygen uptake ( $\text{VO}_2\text{max}$ ) was determined using the Metalyzer cortex (Biophysik GmbH,  
164 Germany) and peak power output (PPO) was determined.

165 Subsequently, subjects visited the lab once every 2 weeks for 8 weeks (4 visits): each visit was  
166 preceded by a 1-week wash-out (except for the first visit) and a 1-week nutritional intervention  
167 (PL or CF). The sequence of the 4 nutritional interventions was randomly assigned for each  
168 participant by using a computer-based randomly permuted block method. The allocation list  
169 was generated by CT (co-author), recruitment of participants was conducted by LD (first author)  
170 and allocation of participants was conducted by a third author (EL). Participants and researchers  
171 involved in data collection, outcome assessment and statistical analysis were blinded to the  
172 nutritional intervention. Participants and all researchers, except for LD, were blinded for  $\text{FIO}_2$ .

173 Subjects performed 4 interventional trials in randomised order: (1) exercise in (normobaric)  
174 hypoxia (H) (3000 m; 14.3 %  $\text{O}_2$ ) preceded by 7 days of CF intake [H-CF], (2) exercise in H  
175 (3000 m; 14.3 %  $\text{O}_2$ ) preceded by 7 days of PL intake [H-PL] (3) exercise in normoxia (N) (0 m;  
176 21.0 %  $\text{O}_2$ ) preceded by 7 days of CF intake and [N-CF] (4) exercise in N (0 m; 21 %  $\text{O}_2$ )  
177 preceded by 7 days of PL intake [N-PL]. All experimental trials were conducted in 20° C and  
178 relative humidity was kept between 30 and 40 %.

179 *Supplementation*



180 Subjects were asked to consume the provided supplements (PL or CF, Naturex, Avignon, France)  
181 every morning at breakfast during the 6 days prior to the testing day. On the testing day, subjects  
182 consumed the last dose of supplements upon arrival in the lab. The daily dose of CF consisted of  
183 4 capsules, containing a total of 1765 mg cocoa extract of which 100 mg EP, 23 mg catechin, 119  
184 mg theobromine and 17 mg caffeine (**Table 1**). The dose and duration of the supplementation  
185 were based on the finding that 1-week of CF intake enhances vascular function in a dose-  
186 dependent manner, with an optimal effect of 100 mg EP (16) and on the pooled results from a  
187 recent meta-analysis where 1 week of polyphenol intake increases performance (36). The PL  
188 capsule contained 1765 mg maltodextrine and was matched with the CF capsule in colour and  
189 shape, theobromine and caffeine content. From the blinding check, it was clear that subjects were  
190 unable to distinguish the 2 interventions. The nutritional intervention was double-blinded and  
191 counter-balanced. Subjects were provided with a list of foods rich in polyphenols which they  
192 should avoid throughout the 8-week study. They were asked to abstain from caffeine during the  
193 last 24 h prior to each intervention trial and to repeat the same nutritional regimen during the last  
194 24 h prior to each intervention trial. Subjects completed a 24h-food recall on 3 random days  
195 during the study, to check for a potential influence of polyphenol intake on the measurements.

#### 196 *The four interventional trials*

197 Subjects were asked to keep a training diary for the entire duration of the study and to repeat the  
198 same weekly training regimen (volume and intensity) for the duration of the study. They were  
199 instructed to abstain from intensive training the last 24 h prior to each intervention trial. On each  
200 visit, subjects arrived at the lab at the same time of the day in a 3-h fasted state. The entire  
201 protocol is depicted in **Figure 1**. First, a baseline FMD measurement took place. Subsequently, a  
202 catheter was placed in a forearm vein and a first venous blood sample was collected. Subjects

203 then consumed the last dose of their supplementation, together with a carbohydrate rich meal,  
204 which was carefully selected by a nutritionist to contain 600 kcal, 85% carbohydrates, 10%  
205 proteins and 5% fat. After the meal, subjects entered the isobaric hypoxic chamber, which was  
206 pre-set at the desired % O<sub>2</sub>. Subjects were asked to sit down and relax. It was shown that the  
207 maximal concentration of plasma flavanols is reached 100 minutes after acute CF intake and the  
208 plasma concentration of flavanols remains at a maximum for 50 minutes (35). Therefore, ninety-  
209 five minutes after the last supplement intake, a second blood sample was taken. Subsequently, the  
210 participants started a 20-minute steady state (SS) cycling exercise, one hundred minutes after the  
211 last dose. During the SS, power output was fixed at 45% of their PPO. SS was followed by five  
212 minutes passive rest in seated position and a blood sample was taken. The 20-minute TT then  
213 started at 75% of PPO, but subjects were free to increase or decrease their power output as  
214 desired from the outset. The goal was ‘to perform as much as possible during 20 minutes’.  
215 Subjects received information on the time lapsed, but did not receive any feedback regarding  
216 power output or heart rate (HR). HR and saturation (SaO<sub>2</sub>) were recorded continuously during the  
217 experimental trial using a chest belt and Polar HR monitor and a pulse oximeter which was  
218 positioned on the participants’ left index finger (Medlab, Germany). Rating of perceived exertion  
219 (RPE) was measured at the start and after 5, 10, 15 and 20 minutes of the SS and the TT. Blood  
220 lactate was enzymatically determined in a capillary blood sample from the ear lobe (Ekf, Biosen  
221 5030, Magdeburg, Germany), at the start, after 10 and 20 minutes of the SS, and the TT. During  
222 the 20-minute TT, the completed work (kJ) was used as the main outcome parameter of exercise  
223 performance. The occurrence of acute mountain sickness (AMS) was assessed using the Lake  
224 Louise Questionnaire at the end of each trial, but none of the subjects experienced any symptom  
225 of AMS.

## 226 *Measurements*

227 Primary outcome measures were muscle and cerebral oxygenation, markers of oxidative stress  
228 and exercise tolerance and performance. Secondary outcome measures included flavanol  
229 metabolites, markers of NO availability, FMD and mean arterial pressure.

### 230 *Flow-mediated dilation (FMD) and mean arterial pressure (MAP)*

231 Upon arrival at the lab, subjects were instructed to relax in supine position for 10 minutes, during  
232 which MAP was measured automatically (Medisana BU510, Kerkrade, Netherlands). Then,  
233 arterial endothelial function was assessed by FMD. The operator, blinded to PL or CF condition,  
234 took images of the vessel upon arrival of the participants (baseline measure) with a 5-10 Hz  
235 linear array probe. The scan of the right brachial artery, approximately 2-7 cm above the  
236 antecubital fosse (marked on the first visit, ensuring that measurement occurred at the same place  
237 for each scan), was evaluated in the longitudinal image. The sphygmomanometer placed around  
238 the forearm (distal) was inflated 50 mmHg above the systolic blood pressure for 5 min. A  
239 continuous scan of the brachial artery was then performed during 90 s after the rapid deflation of  
240 sphygmomanometer, which induced shear-stress and endothelium-dependant dilation. Each  
241 brachial artery diameter was manually measured three times at end of diastole, 60 s after the cuff  
242 deflation. The FMD (%) was calculated as  $(\text{hyperaemic diameter} - \text{pre-inflation diameter}) / \text{pre-}$   
243  $\text{inflation diameter} * 100$ .

### 244 *Muscle and cerebral oxygenation during exercise*

245 Near-infrared spectroscopy (NIRS) (Portalite continuous-wave NIRS system (Artinis, Elst,  
246 Netherlands), a non-invasive optical imaging technique, was used to assess changes in  
247 oxygenation status of the *prefrontal cerebral cortex* and the *M. vastus lateralis* during exercise.

248 The use of NIRS to assess tissue oxygenation, including its limitations, has been extensively  
249 described (11).

250 Upon entrance in the isobaric hypoxic chamber, one emitters/receptor optode pair was positioned  
251 over the left prefrontal cortical area between Fp1 and F3, according to the modified international  
252 EEG 10-20 system. One emitters/receptor optode pair was attached to the (shaved) skin on the  
253 lower third of the belly of the right *M. vastus lateralis* (middle between the lateral epicondyle and  
254 trochanter). Skinfold measures during the medical screening assured that the adipose tissue  
255 thickness was well below 1.5 cm to allow the NIRS photons to penetrate into the muscle (11).  
256 The inter-optode distance for both probes was 4 cm and the probes were covered with a black  
257 cloth to minimize intrusion of extraneous light. A dark elastic band was wrapped around the head  
258 and the leg to keep the NIRS-optode pairs in place.

259 NIRS data collection was started 20 minutes prior to the start of exercise. To collect a baseline  
260 NIRS value, the mean of a 2-minute period during which subjects sat still without speaking or  
261 moving, was calculated. The tissue saturation index (TSI) was determined by spatially resolved  
262 spectroscopy and offers a surrogate measure of the fraction of O<sub>2</sub> saturated haemoglobin and  
263 myoglobin, reflecting a tissue oxygenation status in percentage (%) (11). Data were collected at a  
264 sampling frequency of 5 Hz and were down-sampled with factor 5 for analysis. During exercise,  
265 mean TSI was calculated per 30 second window. NIRS values of the following 30-sec epochs  
266 were used for data analysis: 0, 5, 10, 15 and 20 minutes of the SS and TT.

### 267 *Blood analyses*

268 Venous blood samples were collected at baseline, and at the start and end of the SS and the TT.  
269 Blood was collected into 5 ml EDTA tubes, 5 ml heparinized tubes and 8 ml anticoagulant-free

270 tubes and were centrifuged immediately to obtain plasma or after 30 minutes at room temperature  
271 to allow clotting to obtain serum (10 minutes at 704 g, 4° C). Plasma and serum were aliquoted  
272 and stored at -80° C until further analyses. Values were corrected for changes in plasma volume  
273 using the haematocrit and haemoglobin concentration according to Dill and Costill (7).  
274 Hemoglobin concentration was measured in duplicate using an azidemethemoglobin double  
275 wavelength photometer method (Hemocue Hb201+, Angelholm, Sweden), while haematocrit was  
276 determined by microcentrifugation in triplicate (Heraeus Pico 17, Germany).

### 277 *Serum flavanols*

278 Serum samples were analysed for EP and catechin concentrations as described by Neukam et al  
279 (27). In detail, 0.5 ml of serum was mixed with 1.0 ml phosphate buffer (100 mM, pH 5,  
280 containing ascorbic acid (20 mg/ml), EDTA (1.5 mg/ml)) and 20 µl glucuronidase/sulfatase  
281 (100,000 and 7500 units/ml, respectively) and incubated at 37 °C for 30 min to hydrolyse  
282 glucuronate and sulfate conjugates of epicatechin and catechin. Then, 5 ml tertbutylmethylether  
283 was added and vortexed for 1 min. For phase separation, the mixture was centrifuged at 10 °C for  
284 5 min at 1957 g. The organic phase was transferred to a new tube and after a second extraction  
285 with 5 ml tertbutylmethylether, the combined extracts were dried under a stream of nitrogen and  
286 stored at -80 °C. For HPLC analyses, the dry residues were reconstituted in 200 µl methanol,  
287 vortex-mixed and centrifuged at 5 °C at 15 339 g for 5 min. 20 µl of the supernatant were  
288 injected onto the HPLC-column. For HPLC analysis, a reversed-phase RP18 end-capped column  
289 (Lichrospher 100, 5 µm, 250 × 4 mm; Merck) coupled with a guard column (Lichrospher 100, 5  
290 µm, 4 × 4 mm; Merck) was used. Detection was accomplished at an excitation wavelength of 280  
291 nm and an emission wavelength of 310 nm. Data were recorded by HPLC- System Manager  
292 software (Merck/Hitachi; Darmstadt, Germany). Samples were eluted from the column at 20 °C

293 using a step-gradient as follows: from 0 to 18 min 53% acetonitrile/H<sub>2</sub>O/acetic acid  
294 (150:846:4)/47% H<sub>2</sub>O/acetic acid (1000:5), from 18 to 27 min 80% acetonitrile/H<sub>2</sub>O/ acetic acid  
295 (150:846:4/20% H<sub>2</sub>O/acetic acid (1000:5). To elute retained compounds the column was flushed  
296 from 27 to 60 min with 100% acetonitrile/acetic acid (1000:4) and subsequent equilibration from  
297 60 to 80 min with starting conditions. Flow rate was 1.5 ml/min. Under these conditions the  
298 analytes elute with retention times of 15.4 min for catechin and 24.9 min for epicatechin. Peak  
299 areas of catechin and epicatechin were used to calculate the concentrations applying the external  
300 standard method. Standard curve linearity was observed in the range from 0.125 to 20 μM for  
301 both compounds.

302

### 303 *Plasma nitrite and nitrate*

304 Plasma nitrite (NO<sub>2</sub><sup>-</sup>) and nitrate (NO<sub>3</sub><sup>-</sup>) were analysed by gas phase chemiluminescence analysis.  
305 Plasma was deproteinised with ice-cold ethanol. For NO<sub>2</sub><sup>-</sup> analysis, samples were injected into a  
306 glass purge vessel containing 5ml glacial acetic acid and 1ml NaI solution, which reduces NO<sub>2</sub><sup>-</sup>  
307 to nitric oxide (NO) gas, that is carried into the NO detector in inert nitrogen. For NO<sub>3</sub><sup>-</sup> analysis,  
308 samples were reduced in a solution of vanadium (III) chloride in 1 M hydrochloric acid (0.8%  
309 w/v). Quantification of NO was enabled by the detection of light emitted during the production of  
310 nitrogen dioxide formed upon reaction of NO with ozone. Luminescence was detected by a  
311 thermoelectrically cooled, red-sensitive photomultiplier tube housed in a Sievers gas-phase  
312 chemiluminescence nitric oxide analyser (Sievers NOA 280i, Analytix Ltd, Durham, UK). The  
313 concentrations of NO<sub>2</sub><sup>-</sup> and NO<sub>3</sub><sup>-</sup> were determined by plotting signal area (mV) against a  
314 calibration plot of 25nM to 1μM sodium nitrite and 100nM to 10μM sodium nitrate respectively.

315 The  $\text{NO}_3^-$  concentration was corrected by deduction of the  $\text{NO}_2^-$  value, since the vanadium  
316 chloride solution also reduces nitrite.

317 *Plasma arginine and citrulline*

318 150  $\mu\text{L}$  of internal standard (50  $\mu\text{M}$  arginine, methanol mixture) was added to 10  $\mu\text{L}$  of  
319 heparinized plasma and centrifuged (13000 rpm, 10 minutes,  $4^\circ\text{C}$ ) to remove the precipitated  
320 proteins. Supernatant was collected and dried under a stream of nitrogen at  $70^\circ\text{C}$ . The dried  
321 extract was dissolved in 100  $\mu\text{L}$  of a butanol solution containing 3N HCl and kept at  $70^\circ\text{C}$  for 40  
322 minutes. The solvent was removed by evaporation under nitrogen flow at  $70^\circ\text{C}$ . The sample was  
323 then dissolved in 2.5 mL of water-methanol (90:10, v/v) containing 0.1% formic acid and 5  $\mu\text{L}$   
324 was injected into an analytical column (Kinetex C18 (5 $\mu\text{m}$ , 2.1x100mm)). Mass spectrometric  
325 analysis was performed using an UFLC-XR Shimadzu coupled with an QTRAP® 5500 hybrid  
326 system, equipped with a Turbo VTM ion197 source (AB Sciex, Foster City, CA, USA). Multiple  
327 reaction monitoring (MRM) measurement was performed using optimal cone and collision  
328 energy values. Each run was performed at a flow rate of 500  $\mu\text{L}/\text{min}$  at  $30^\circ\text{C}$ , lasting 9 minutes in  
329 total. A gradient profile consisted of solution A (water with 0.1% (v/v) formic acid) and solution  
330 B (methanol with 0.1% (v/v) formic acid). The percentage of organic solution B was changed  
331 gradually as follows: 0 min, 2%; 4 minutes, 7%; 6 minutes, 50%; 7 minutes, 2 %; 9 minutes, 2%.  
332 Data were acquired with Analyst Software version 1.5.2. Calibration curves, performed in water,  
333 were obtained adding increasing concentrations of arginine and citrulline from 12.5 to 125  $\mu\text{M}$ .

334 *Quantification of total antioxidant capacity (TEAC), uric acid (UA), malondialdehyde (MDA) in*  
335 *plasma*

336 Plasma antioxidant capacity was quantified as trolox equivalent antioxidant capacity (TEAC)  
337 according to Fischer et al.(12). In this procedure, the decolorization of the preformed green-blue  
338 2,2'-azino-bis(3-ethylbenzthiazoline-6-sulfonic acid) (ABTS<sup>++</sup>) radical by the heparinized plasma  
339 within a fixed time reflects the antioxidant capacity of the sample. To correct plasma TEAC  
340 values for individual differences in uric acid (UA) concentrations, the most abundant antioxidant  
341 in blood, UA plasma concentrations were quantified by HPLC (34). MDA, a marker of lipid  
342 peroxidation as a result of oxidative stress, was quantified in EDTA-plasma samples after  
343 derivatization with thiobarbituric acid by using HPLC with fluorometric detection as described  
344 by Lepage et al. (22).

#### 345 *Statistical analyses*

346 A power calculation to determine the minimal sample size required to determine whether CF  
347 intake would affect exercise-induced markers of oxidative stress and exercise performance (TT)  
348 (n=15, p= 0.05, power= 0.8) was based on the results of Allgrove, Patel and Wiswedel (2, 31,  
349 44).

350 Statistical analyses were performed with IBM SPSS Statistics (version 22; IBM Corp, Armonk,  
351 USA) and considered significant at  $p < 0.05$ . Data are presented as mean  $\pm$  standard deviation  
352 (SD) for n=13, except when otherwise indicated. Normality and sphericity of the data were  
353 assessed by the Kolmogorov-Smirnov test and Mauchly's test. To follow the absorption and  
354 metabolism of EP and catechin after 6-day CF intake and after intake of the final dose of CF, a  
355 three-way repeated measures ANOVA ( $F_{I}O_2$  x supplement x time (baseline, start SS, start TT,  
356 end TT)) was used. Two-way repeated measures ANOVA (fraction of inspired  $O_2$  ( $F_{I}O_2$ ) x  
357 supplement) at *baseline* was employed to assess the baseline differences in nitrite, nitrate,  
358 arg:citr, TEAC, UA, MDA, MAP and FMD between 6-day CF and PL intake, with interpretation



359 of the main effect of supplement. Two-way repeated measures ANOVAs ( $F_{I}O$ )  $\times$  supplement)  
360 were used to assess differences in nitrite, nitrate, arg:cit, TEAC, UA, MDA and muscular and  
361 prefrontal TSI between CF and PL in H and N at start of SS (acute effect supplement and  $F_{I}O_2$ )  
362 and start of TT. For significant interactions between  $F_{I}O_2$  and supplement, pairwise comparisons  
363 were performed using the post hoc Bonferroni correction. Two three-way repeated measures  
364 ANOVAs ( $F_{I}O_2 \times$  supplement  $\times$  time) were used to assess differences between CF and PL in H  
365 and N during exercise (1 for SS, 1 for TT) for the following outcome parameters: nitrite, nitrate,  
366 arginine:citrulline ratio (arg:citr ratio), TEAC, UA, MDA, work performance during TT,  
367 muscular and prefrontal TSI. The effects of H and CF intake on TT performance (work  
368 performed after 20 min) was assessed by a two-way repeated measures ANOVA ( $F_{I}O_2 \times$   
369 supplement). Significant interactions in the three-way repeated measures ANOVA were further  
370 analysed by two-way repeated measures ANOVA with subsequent paired t-tests to interpret the  
371 effect of the supplement over time at each  $F_{I}O_2$  (N and H) and the effect of  $F_{I}O_2$  over time after  
372 CF or PL intake. If no significant interaction effects were observed, main effects were  
373 immediately interpreted through pairwise comparisons with the Bonferroni correction.  
374 Significant interactions in the two-way repeated measures ANOVA (supplement  $\times$   $F_{I}O_2$ ) were  
375 further analysed by paired t-tests to interpret the effect of the supplement at each  $F_{I}O_2$  (N and H)  
376 and the effect of  $F_{I}O_2$  after each supplementation. RPE was not normally distributed and was  
377 therefore analysed by Friedman tests and Wilcoxon signed rank tests. A Pearson correlation was  
378 used to assess correlations between baseline concentrations of nitrite and nitrate and relative  
379 increases in nitrite and nitrate concentration after 6 days of CF intake.

380

381

## 382 **Results**

### 383 *Subject characteristics*

384 The 14 well-trained athletes included in this study were  $30.7 \pm 3.1$  years old, had a height of  $1.80$   
385  $\pm 0.05$  m, weight of  $73.4 \pm 7.4$  kg and BMI of  $22.5 \pm 1.5$ . They had a  $\text{VO}_2\text{max}$  of  $62.9 \pm 5.8$   
386  $\text{mL}/\text{min}^* \text{kg}$  and PPO of  $366 \pm 45$  W.

### 387 *Effects of CF intake on (-)-epicatechin and (+)-catechin*

388 At baseline, there was no significant difference between 6-day CF and PL intake on serum EP  
389 concentration. Three-way repeated measures ANOVA showed a significant *supplement x time*  
390 interaction ( $F=14.70$ ,  $p<0.001$ ). Post-hoc analysis showed that 100 minutes after acute CF intake,  
391 serum EP was elevated compared to baseline ( $+ 238 \pm 43$  %, H and N pooled,  $p<0.05$ ). After CF  
392 intake, serum EP further increased during SS exercise ( $+ 359 \pm 32$  %, H and N pooled,  $p<0.001$   
393 compared to baseline), while a plateau phase in serum EP was reached during the TT (no further  
394 increase post-TT compared to pre-TT) (**Figure 2A**). After PL intake, EP did not change over  
395 time. Serum catechin was not affected by CF intake, compared to PL intake, at any time points  
396 and in both N and H (**Table 2** for baseline values, other data not shown).

### 397 *Effect of CF intake on NO availability during exercise in H*

#### 398 *eNOS dependent NO production*

399 eNOS dependent NO synthesis was reflected by plasma nitrite and nitrate concentrations and by  
400 the plasma ratio of arg:citr (39). Plasma nitrite and nitrate did not change during exercise and  
401 were not affected by H (**Figure 2B**). Arg:citr ratio significantly decreased at the end of exercise  
402 compared to pre-exercise (main effect of time:  $F=14.1$ ,  $p=0.003$ ) and was significantly higher in  
403 H compared to N (main effect of  $F_1\text{O}_2$ :  $F=10.0$ ,  $p=0.008$ )(**Figure 3A**). CF intake did not

404 significantly change plasma nitrite, nitrate and arg:citr ratio, neither before (thus at “baseline”),  
405 nor after the final dose. CF intake did not change plasma nitrite, nitrate and arg:citr ratio after  
406 exercise. However, a significant negative correlation was found between baseline nitrite (after 6  
407 days of PL intake) and the relative increase in nitrite concentration after 6 days of CF intake ( $R^2$   
408 = 0.67,  $p < 0.001$ , Pearson correlation).

#### 409 *Oxidative stress and antioxidant capacity*

410 Two-way repeated measures ANOVAs at baseline and pre-exercise showed that MDA was  
411 neither affected by 6-day CF intake (Table 2) nor by the final dose of CF, in rest. Three-way  
412 repeated measures ANOVA during exercise revealed a significant interaction effect of *time x*  
413 *supplementation* ( $F=7.95$   $p=0.018$ ): the significant exercise-induced increases in plasma MDA  
414 concentrations after PL intake ( $+ 12.2 \pm 5.5$  %,  $p=0.047$  in N and  $+19.0 \pm 6.8$  %,  $p=0.016$  in H),  
415 were suppressed by CF intake in both N ( $+ 2.9 \pm 4.4$  %, NS) and H ( $+ 2.0 \pm 4.4$  %, NS) (**Figure**  
416 **3B**).

417 Two-way repeated measures ANOVAs at baseline and pre-exercise showed that total plasma  
418 antioxidant capacity, measured as TEAC, was neither affected by the intake of 6 day CF intake,  
419 nor by the last dose of CF in N and H in rest. Three-way repeated measures ANOVA revealed  
420 that the exercise-induced increase in TEAC was larger in H than in N (*time x F<sub>1</sub>O<sub>2</sub>*:  $F= 4.83$   
421  $p=0.05$ ), but was not affected by CF intake (**Figure 3C**). To correct for individual differences in  
422 UA, the most abundant plasma antioxidant that contributes to plasma TEAC, UA concentrations  
423 were quantified in every sample. Two-way repeated measures ANOVAs showed that UA was  
424 neither affected by 6-day CF intake, nor by intake of the final dose of CF at rest. Three-way  
425 repeated measures ANOVA showed that UA concentrations were elevated after exercise, and in

426 H compared to N (main effect of time:  $F=25.26$ ,  $p<0.001$ ; main effect of  $F_I O_2$ :  $F=6.48$   $p=0.026$ ),  
427 while CF did not influence this response (**Figure 3D**).

#### 428 *Vasoreactivity*

429 At baseline, MAP was not different between 6-day CF and PL intake (Table 2). FMD was  
430 significantly increased after 6 days of CF intake compared to PL (main effect of supplement:  
431  $F=5.59$ ,  $p=0.042$ ) (Table 2). The change in FMD after 6 days of CF intake compared to 6 days of  
432 PL was not correlated with the relative increases in nitrite and (-)-epicatechin concentration after  
433 6 days of CF intake compared to PL.

#### 434 *Muscle oxygenation during exercise*

435 At the start of SS exercise, TSI in the V. lateralis was not affected by the supplement and H.  
436 During SS, a significant interaction *time*  $\times$   $F_I O_2$  effect was found for TSI ( $F=11.95$   
437  $p<0.001$ ) (**Figure 4A**). Post-hoc Bonferroni corrections showed that TSI decreased during the first  
438 5 minutes and then stabilized. This exercise-induced decrease was aggravated in H, compared to  
439 N, while CF intake had no effect.

440 At the start of the TT, TSI was significantly lower in H than in N (main effect of  $F_I O_2$ :  $F=6.96$   
441  $p=0.02$ ). Three-way repeated measures ANOVAs showed a main effect of time during the TT for  
442 TSI ( $F=71.65$   $p<0.001$ ): TSI significantly decreased during the first 5 minutes and stabilized  
443 during the last 15 minutes. H and CF intake did not influence TSI during the TT.

#### 444 *Prefrontal cortex oxygenation during exercise*

445 At the start of SS exercise, both H and CF influenced TSI (main effect of  $F_I O_2$ :  $F=7.05$ ,  $p=0.02$ ,  
446 main effect of supplement:  $F=7.66$ ,  $p=0.017$ ) (**Figure 4B**). TSI was significantly lower in H  
447 compared to N. TSI was significantly higher after CF intake compared to PL (Figure 4B).

448 During SS, 3-way repeated measures ANOVA showed a significant main effect of supplement  
449 ( $F=12.28$ ,  $p=0.004$ ) and a significant  $FIO_2 \times time$  interaction for TSI ( $F=24.10$   $p<0.0001$ ). CF  
450 intake significantly increased prefrontal TSI during SS exercise. TSI significantly decreased in H,  
451 but not in N.

452 At the start of the TT, TSI was significantly lower in H than in N (*effect of  $FIO_2$* :  $F=6.43$   
453  $p=0.026$ ), while CF intake had no significant effect. Three-way repeated measures ANOVA  
454 showed a significant  $FIO_2 \times supplement \times time$  interaction effect for TSI during the TT ( $F=4.11$   
455  $p=0.016$ ). In N, TSI decreased significantly for the entire duration of the TT after both PL and CF  
456 intake. However, a larger decrease was observed after CF intake, compared to PL (significant  
457 *supplement  $\times$  time* interaction effect ( $F=6.38$   $p<0.001$ )). In H, TSI enormously decreased during  
458 the first 5 minutes and did not change significantly during the remaining 15 minutes after both PL  
459 and CF intake and no interaction effect of *supplement  $\times$  time* was found.

#### 460 *Exercise tolerance and performance*

##### 461 *Steady state*

462 Two-way repeated measures ANOVA showed that at the start of SS,  $SaO_2$  was significantly  
463 lower in H than in N (**Table 3**). At rest, CF intake did not alter  $SaO_2$ . HR and lactate were similar  
464 in H and N and were not different after CF intake, compared to PL. Three-way repeated measures  
465 ANOVAs showed a significant  $FIO_2 \times time$  interaction effect for  $SaO_2$ , HR and lactate during SS.  
466 An exercise-induced decrease in  $SaO_2$  occurred in H, but not in N. The exercise-induced increase  
467 in HR was larger in H than N. In N, lactate decreased during SS, but in H, lactate increased  
468 during SS. During SS, RPE was significantly higher in H than in N. The (significant) difference  
469 in  $SaO_2$  between CF and PL intake during SS exercise in H ( $-1.21 \pm .48\%$  in CF vs. PL) was

470 smaller than the accuracy range (2 - 3%) claimed by the distributor of the pulse oximeter used  
471 and might thus not be reliable. CF did not influence HR, lactate and RPE during SS exercise.

472 *Time trial*

473 TT performance (work performed during the 20 minute-TT) decreased in H compared to N, but  
474 CF intake did not influence TT performance (Table 5). Two-way repeated measures ANOVAs at  
475 the start of the TT showed that SaO<sub>2</sub> and lactate were significantly lower ( $-8 \pm 1\%$ ,  $p < 0.001$ ) and  
476 higher ( $+0.9 \pm 0.2 \text{ mmol.L}^{-1}$ ,  $p < 0.001$ ) in H compared to N. No significant difference between N  
477 and H was observed for HR. CF did not influence SaO<sub>2</sub>, lactate or HR. Three-way repeated  
478 measures ANOVAs showed a significant  $F_{I\text{O}_2} \times \text{time}$  interaction effect for SaO<sub>2</sub>, HR and lactate  
479 during the TT. Post hoc analysis showed a larger drop in SaO<sub>2</sub> and a larger increase in lactate  
480 during the TT in H compared to N. Post hoc analysis showed a faster elevation of HR, but lower  
481 HR<sub>max</sub> at the end of the TT in H than in N. RPE was significantly higher in H than in N during the  
482 first half of the TT, but there was no difference during the second half. CF intake did not  
483 influence any of these physiological changes.

484

## 485 Discussion

486 The important novel findings of this study were that in well-trained cyclists, 1-week CF intake  
487 can *i*) increase prefrontal oxygenation at rest and during moderate-intensity exercise and thus can  
488 partially restore the hypoxia-induced decline in oxygenation during exercise at altitude and *ii*)  
489 reduce exercise-induced oxidative stress, which is substantially higher in hypoxia than in  
490 normoxia. CF does not improve exercise performance in normoxia and hypoxia.

491 It is well documented that CF intake leads to improved endothelial function, as reflected by  
492 FMD, in individuals with and without cardiovascular risks (16, 17). We found that this beneficial  
493 effect also occurs in well-trained athletes who already have an enhanced endothelial function by  
494 regular exercise training. Studies using either NO-synthase inhibitor (L-NMMA) (18) or parallel  
495 measure of circulating nitrites (17) suggested that the CF-induced improvement in FMD can  
496 originate from an effect of CF on NO metabolism. However, in the current study, nitrite  
497 concentration and arg:citr ratio, two indirect markers of eNOS dependent NO production and NO  
498 availability (6, 10), were not altered by CF intake and no correlation between the change in FMD  
499 and change in nitrite concentration was found. One hypothesis to explain this result could be that  
500 CF could also act on other molecules than NO, which would play a role in smooth muscles  
501 relaxation. As for example, Grassi et al. (16) showed that 7-day CF supplementation improved  
502 FMD and decreased concentrations of endothelin 1, a substance known to act directly on smooth  
503 muscles by inducing vasoconstriction in healthy volunteers. Despite a greater CF-induced  
504 increase of plasma nitrites in subjects with lower initial levels of nitrite, we did not find greater  
505 FMD improvements in those subjects. This result might be an additional argument for a putative  
506 role of other dilator substances, besides NO, in CF effects.

507 CF intake did not affect nitrite, nitrate and arg:citr ratio in response to exercise and hypoxia.  
508 Moreover, nitrite and nitrate were neither altered by acute hypoxia, nor by exercise. Similarly,  
509 nitrite was similar to pre-exercise levels after a 3h cycling race in the study of Sureda et al. (40)  
510 and Kelly et al. (21) found no effect of exercise in normoxia and hypoxia on nitrite after PL  
511 intake. However, the interpretation of these data is not straightforward, since plasma nitrite is  
512 likely to reflect the dynamic balance between NOS-derived NO production and the reduction  
513 from nitrate to nitrite and further NO, which is expected to be facilitated in hypoxia (21).  
514 Furthermore, in the current study, the arg:citr ratio was lowered after exercise and the magnitude  
515 of this decrease was smaller in hypoxia compared to normoxia. This seems consistent with the  
516 notion that enzymatic production of NO depends on the availability of O<sub>2</sub> (29) and that hypoxia  
517 triggers superoxide anion generation, causing depletion of tetrahydrobiopterin, the essential  
518 eNOS cofactor, which results in eNOS uncoupling and decreased eNOS-dependent NO  
519 production (30).

520 Previously, it has been shown that CF intake leads to inhibition of NADPH oxidase (20). The  
521 generation of the superoxide anion radicals by NADPH oxidase results in scavenging of NO,  
522 eNOS uncoupling and reduced NO availability, but also triggers oxidative stress. CF intake may  
523 decrease oxidative stress after different types and durations of exercise in humans at sea level (2,  
524 8, 13, 44). At altitude, the magnitude of exercise-induced oxidative stress is elevated compared to  
525 at sea level (26). For the first time, we demonstrated that 7-day CF intake can inhibit the exercise-  
526 induced increase in lipid peroxidation in N, but also in H. Lipid peroxidation, which is the result  
527 of a multistep chain reaction where ROS attack lipids in cell membranes (4), was affected by CF  
528 intake. However, CF did neither affect plasma UA concentrations, nor the total plasma  
529 antioxidant capacity measured as TEAC, in response to exercise and hypoxia. The plasma



530 antioxidant capacity does not necessarily correlate with changes in lipid peroxidation since  
531 hydrophilic antioxidants are not efficient against lipid peroxidation (28). Previous *in vitro* studies  
532 showed that CF can directly scavenge free radicals, act as a chain-breaking antioxidant in lipid  
533 peroxidation and/or regulate ROS-related enzymes (3, 20, 24). Our results propose that during  
534 exercise in hypoxia, CF mainly reduces oxidative stress in the environment of membranes and  
535 lipoproteins. The diminished oxidative stress raises the possibility for CF to prevent muscle  
536 damage and thus have a beneficial effect on exercise recovery.

537 Consistent with previous research, the exercise-induced drops in tissue oxygenation were larger  
538 in hypoxia than in normoxia during moderate-intensity exercise (14, 25). The decreased muscular  
539 oxygenation in hypoxia was paralleled by elevated blood lactate concentration, indicating a  
540 higher reliance on anaerobic glycolysis, but was not affected by CF intake. Thus, the effects of  
541 hypoxia to inhibit oxidative energy production during moderate-intensity exercise were not  
542 suppressed by CF. In contrast to the muscle, CF intake beneficially impacted cerebral  
543 oxygenation at rest and during moderate-intensity exercise in hypoxia. Although no other studies  
544 have examined muscular nor prefrontal oxygenation changes in response to CF intake, we might  
545 speculate that there is a tissue-specific reaction to CF supplementation. Using another supplement  
546 (beetroot) during moderate-intensity exercise in hypoxia, Masschelein et al. (25) found a tissue-  
547 specific reaction, but in the opposite way with improved muscular oxygenation, but no  
548 difference in prefrontal oxygenation. However, beetroot is known to influence the NO  
549 metabolism, while we found no differences in nitrate and nitrite concentrations after CF intake.  
550 Thus, the specific tissue responsiveness to CF supplementation merits further investigation.

551 The beneficial effects of CF on prefrontal oxygenation vanished during high-intensity exercise,  
552 indicating that the physiological alterations in response to exhaustive exercise largely overruled

553 any beneficial effects of CF. CF intake could not increase muscular oxygenation and could not  
554 prevent greater reliance on anaerobic glycolysis during the TT in hypoxia, as evidenced by the  
555 higher blood lactate concentration. Moreover, CF intake did not have ergogenic effects in  
556 hypoxia and normoxia.

557 Future research may address some of the potential limitations of the current study. The measured  
558 markers of NO availability and oxidative stress in plasma might not exactly reflect changes in the  
559 endothelium, brain and muscle. While NIRS is currently the only method allowing the  
560 measurement of muscular and cerebral blood flow and oxygenation continuously during whole-  
561 body exercise, it only provides indirect information.

562 For the first time, we showed that CF intake inhibited oxidative stress during exhaustive exercise  
563 in hypoxia. CF had beneficial effects on endothelial function at rest, as well as on prefrontal  
564 oxygenation at rest and during moderate-intensity exercise. This is not only relevant for athletes  
565 exposed to altitude, but also for hypoxemic patients suffering from a reduced blood oxygenation,  
566 as well as for patients suffering from chronic diseases involving increased levels of oxidative  
567 stress.

568

569 *Acknowledgements*

570 We kindly thank Pascale Fanca Berthon from Naturex® to manufacture, produce and provide us  
571 with the supplements, although the authors have no conflicts of interest to report. We thank Cloe  
572 Vandervaeren to assist in conducting the research.

573

574 *Grants*

575 LD has a grant “Lotto Sport Science Chair”. EL has a grant of “the Region Hauts-de-France”.

576 *References*

- 577 1. **Allgrove J, Farrell E, Gleeson M, Williamson G, Cooper K.** Regular dark chocolate  
578 consumption's reduction of oxidative stress and increase of free-fatty-acid mobilization in  
579 response to prolonged cycling. *Int J Sport Nutr Exerc Metab* 21: 113–123, 2011.
- 580 2. **Allgrove J, Farrell E, Gleeson M, Williamson G, Cooper K.** Regular dark chocolate  
581 consumption's reduction of oxidative stress and increase of free-fatty-acid mobilization in  
582 response to prolonged cycling. [Online]. *Int J Sport Nutr Exerc Metab* 21: 113–23, 2011.  
583 <http://www.ncbi.nlm.nih.gov/pubmed/21558573> [3 Nov. 2014].
- 584 3. **Andújar I, Recio MC, Giner RM, Ríos JL.** Cocoa polyphenols and their potential  
585 benefits for human health. *Oxid Med Cell Longev* 2012: 906252, 2012.
- 586 4. **Ayala A, Munoz MF, Arguelles S.** Lipid peroxidation: Production, metabolism, and  
587 signaling mechanisms of malondialdehyde and 4-hydroxy-2-nonenal. *Oxid Med Cell*  
588 *Longev* 2014, 2014.
- 589 5. **Beckman JS, Beckman TW, Chen J, Marshall P a, Freeman B a.** Apparent hydroxyl  
590 radical production by peroxynitrite: implications for endothelial injury from nitric oxide  
591 and superoxide. *Proc Natl Acad Sci U S A* 87: 1620–1624, 1990.
- 592 6. **Brossette T, Hundsdörfer C, Kröncke K-D, Sies H, Stahl W.** Direct evidence that (-)-  
593 epicatechin increases nitric oxide levels in human endothelial cells. *Eur J Nutr* 50: 595–  
594 599, 2011.
- 595 7. **D.B. D, Costill D.** Calculation of percentage changes in volumes of blood, plasma, and red  
596 cells in dehydration. *J Appl Physiol* 37: 247–248, 1974.
- 597 8. **Davison G, Callister R, Williamson G, Cooper K a, Gleeson M.** The effect of acute pre-

- 598 exercise dark chocolate consumption on plasma antioxidant status, oxidative stress and  
599 immunoendocrine responses to prolonged exercise. *Eur J Nutr* 51: 69–79, 2012.
- 600 9. **Domínguez R, Cuenca E, Maté-muñoz JL, García-fernández P, Serra-paya N,**  
601 **Carmen M, Estevan L, Herreros PV, Garnacho-castaño MV.** Effects of Beetroot Juice  
602 Supplementation on Cardiorespiratory Endurance in Athletes. *Nutrients* 9: 1–18, 2017.
- 603 10. **Fekkes D, Bannink M, Kruit WHJ, Van Gool AR, Mulder PGH, Sleijfer S,**  
604 **Eggermont AMM, Stoter G.** Influence of pegylated interferon- $\alpha$  therapy on plasma levels  
605 of citrulline and arginine in melanoma patients. *Amino Acids* 32: 121–126, 2007.
- 606 11. **Ferrari M, Mottola L, Quaresima V.** Principles, techniques, and limitations of near  
607 infrared spectroscopy. [Online]. *Can J Appl Physiol* 29: 463–87, 2004.  
608 <http://www.ncbi.nlm.nih.gov/pubmed/15328595> [17 Jan. 2017].
- 609 12. **Fischer M, Gransier TJM, Beckers LMG, Bekers O, Bast A, Haenen G.** Determination  
610 of the antioxidant capacity in blood. *Clin Chem Lab Med* 43: 735–740, 2005.
- 611 13. **Fraga CG, Actis-Goretta L, Ottaviani JI, Carrasquedo F, Lotito SB, Lazarus S,**  
612 **Schmitz HH, Keen CL.** Regular consumption of a flavanol-rich chocolate can improve  
613 oxidant stress in young soccer players. *Clin Dev Immunol* 12: 11–17, 2005.
- 614 14. **Gatterer H, Greilberger J, Philippe M, Faulhaber M, Djukic R, Burtcher M.** Short-  
615 term supplementation with alpha-ketoglutaric acid and 5-hydroxymethylfurfural does not  
616 prevent the hypoxia induced decrease of exercise performance despite attenuation of  
617 oxidative stress. *Int J Sports Med* 34: 1–7, 2013.
- 618 15. **González-Garrido JA, García-Sánchez JR, Garrido-Llanos S, Olivares-Corichi IM.**  
619 An association of cocoa consumption with improved physical fitness and decreased muscle

- 620 damage and oxidative stress in athletes. [Online]. *J. Sports Med. Phys. Fitness*.  
621 <http://www.ncbi.nlm.nih.gov/pubmed/26632851> [21 Jan. 2016].
- 622 16. **Grassi D, Desideri G, Necozone S, di Giosia P, Barnabei R, Allegaert L, Bernaert H,**  
623 **Ferri C.** Cocoa consumption dose-dependently improves flow-mediated dilation and  
624 arterial stiffness decreasing blood pressure in healthy individuals. *J Hypertens* 33: 294–  
625 303, 2015.
- 626 17. **Heiss C, Finis D, Kleinbongard P, Hoffmann A, Rassaf T, Kelm M, Sies H.** Sustained  
627 increase in flow-mediated dilation after daily intake of high-flavanol cocoa drink over 1  
628 week. *J Cardiovasc Pharmacol* 49: 74–80, 2007.
- 629 18. **Heiss C, Kleinbongard P, Dejam A, Perré S, Schroeter H, Sies H, Kelm M.** Acute  
630 consumption of flavanol-rich cocoa and the reversal of endothelial dysfunction in smokers.  
631 *J Am Coll Cardiol* 46: 1276–83, 2005.
- 632 19. **Hooper L, Kay C, Abdelhamid A, Kroon P a, Cohn JS, Rimm EB, Cassidy A.** Effects  
633 of chocolate , cocoa , and flavan-3-ols on cardiovascular health : a systematic review and  
634 meta-analysis of randomized trials 1 – 3. *Am J Clin Nutr* 95: 740–751, 2012.
- 635 20. **Katz DL, Doughty K, Ali A.** Cocoa and Chocolate in Human Health and Disease.  
636 *Antioxid Redox Signal* 15: 2779–2811, 2011.
- 637 21. **Kelly J, Vanhatalo a., Bailey SJ, Wylie LJ, Tucker C, List S, Winyard PG, Jones a.**  
638 **M.** Dietary nitrate supplementation: effects on plasma nitrite and pulmonary O<sub>2</sub> uptake  
639 dynamics during exercise in hypoxia and normoxia. *AJP Regul Integr Comp Physiol* 307:  
640 R920–R930, 2014.
- 641 22. **Lepage G, Munoz G, Champagne J, Roy CC.** Preparative steps necessary for the

- 642 accurate measurement of malondialdehyde by high-performance liquid chromatography.  
643 *Anal Biochem* 197: 277–283, 1991.
- 644 23. **Loke WM, Hodgson JM, Proudfoot JM, McKinley AJ, Puddey IB, Croft KD.** Pure  
645 dietary flavonoids quercetin and (-)-epicatechin augment nitric oxide products and reduce  
646 endothelin-1 acutely in healthy men. *Am J Clin Nutr* 88: 1018–1025, 2008.
- 647 24. **Lü J, Lin PH, Yao Q, Chen C.** Chemical and molecular mechanisms of antioxidants:  
648 experimental approaches and model systems. *J Cell Mol Med* 14: 840–860, 2010.
- 649 25. **Masschelein E, Van Thienen R, Wang X, Van Schepdael A, Thomis M, Hespel P.**  
650 Dietary nitrate improves muscle but not cerebral oxygenation status during exercise in  
651 hypoxia. *J Appl Physiol* 113: 736–45, 2012.
- 652 26. **McGinnis G, Kliszczewicz B, Barberio M, Ballmann C, Peters B, Slivka D, Dumke C,**  
653 **Cuddy J, Hailes W, Ruby B, Quindry J.** Acute Hypoxia and Exercise-Induced Blood  
654 Oxidative Stress. *Int J Sport Nutr Exerc Metab* 24: 684–93, 2014.
- 655 27. **Neukam K, Stahl W, Tronnier H, Sies H, Heinrich U.** Consumption of flavanol-rich  
656 cocoa acutely increases microcirculation in human skin. *Eur J Nutr* 46: 53–56, 2007.
- 657 28. **Niki E.** Assessment of antioxidant capacity in vitro and in vivo. *Free Radic Biol Med* 49:  
658 503–515, 2010.
- 659 29. **Ostergaard L, Stankevicius E, Andersen MR, Eskildsen-Helmond Y, Ledet T,**  
660 **Mulvany MJ, Simonsen U.** Diminished NO release in chronic hypoxic human endothelial  
661 cells. *Am J Physiol Heart Circ Physiol* 293: H2894-903, 2007.
- 662 30. **De Pascali F, Hemann C, Samons K, Chen CA, Zweier JL.** Hypoxia and reoxygenation

- 663 induce endothelial nitric oxide synthase uncoupling in endothelial cells through  
664 tetrahydrobiopterin depletion and S-glutathionylation. *Biochemistry* 53: 3679–3688, 2014.
- 665 31. **Patel RK, Brouner J, Spendiff O.** Dark chocolate supplementation reduces the oxygen  
666 cost of moderate intensity cycling. *J Int Soc Sports Nutr* 12: 7–14, 2015.
- 667 32. **Powers SK, Radak Z, Ji LL.** Exercise-induced oxidative stress: past, present and future.  
668 *J. Physiol.* (2016). doi: 10.1113/JP270646.
- 669 33. **Rochette L, Lorin J, Zeller M, Guillard J-C, Lorgis L, Cottin Y, Vergely C.** Nitric  
670 oxide synthase inhibition and oxidative stress in cardiovascular diseases: possible  
671 therapeutic targets? *Pharmacol Ther* 140: 239–57, 2013.
- 672 34. **Ruijters EJB, Weseler AR, Kicken C, Haenen GRMM, Bast A.** The flavanol (-)-  
673 epicatechin and its metabolites protect against oxidative stress in primary endothelial cells  
674 via a direct antioxidant effect. *Eur J Pharmacol* 715: 147–153, 2013.
- 675 35. **Schramm DD, Karim M, Schrader HR, Holt RR, Kirkpatrick NJ, Polagruto J a,  
676 Ensunsa JL, Schmitz HH, Keen CL.** Food effects on the absorption and  
677 pharmacokinetics of cocoa flavanols. *Life Sci* 73: 857–869, 2003.
- 678 36. **Somerville V, Bringans C, Braakhuis A.** Polyphenols and Performance: A Systematic  
679 Review and Meta-Analysis. *Sport. Med.* (2017). doi: 10.1007/s40279-017-0675-5.
- 680 37. **Steffen Y, Gruber C, Schewe T, Sies H.** Mono-O-methylated flavanols and other  
681 flavonoids as inhibitors of endothelial NADPH oxidase. *Arch Biochem Biophys* 469: 209–  
682 219, 2008.
- 683 38. **Subudhi AW, Dimmen AC, Roach RC.** Effects of acute hypoxia on cerebral and muscle



- 684 oxygenation during incremental exercise. *J Appl Physiol* 80:18: 177–183, 2007.
- 685 39. **Sureda A, Pons A.** Arginine and citrulline supplementation in sports and exercise:  
686 Ergogenic nutrients? *Acute Top Sport Nutr* 59: 18–28, 2012.
- 687 40. **Sureda A, Tauler P, Aguiló A, Fuentespina E, Córdova A, Tur JA, Pons A.** Blood cell  
688 NO synthesis in response to exercise. *Nitric Oxide - Biol Chem* 15: 5–12, 2006.
- 689 41. **Taub PR, Ramirez-Sanchez I, Patel M, Higginbotham E, Moreno-Ulloa A, Román-**  
690 **Pintos LM, Phillips P, Perkins G, Ceballos G, Villarreal F.** Beneficial effects of dark  
691 chocolate on exercise capacity in sedentary subjects: underlying mechanisms. A double  
692 blind, randomized, placebo controlled trial. *Food Funct* 7: 3686–3693, 2016.
- 693 42. **Trent Stellingwerff, Godin J-P, Chou CJ, Grathwohl D, Ross AB, Cooper K a,**  
694 **Williamson G, Actis-Goretta L.** the effects of acute dark chocolate consumption on  
695 carbohydrate metabolism and performance during rest and exercise. *Appl Physiol Nutr*  
696 *Metab* 39: 173–82, 2014.
- 697 43. **Verges S, Rupp T, Jubeau M, Wuyam B, Esteve F, Levy P, Perrey S, Millet GY.**  
698 Cerebral perturbations during exercise in hypoxia. *AJP Regul Integr Comp Physiol* 302:  
699 R903–R916, 2012.
- 700 44. **Wiswedel I, Hirsch D, Kropf S, Gruening M, Pfister E, Schewe T, Sies H.** Flavanol-  
701 rich cocoa drink lowers plasma F2-isoprostane concentrations in humans. *Free Radic Biol*  
702 *Med* 37: 411–421, 2004.
- 703
- 704

705 *Tables*706 *Table 1. Composition of cocoa flavanol (CF) and placebo (PL) supplementation (Naturex) (daily dose).*

Content in 4 pills	PL	CF	707
Cocoa extract (mg)	0	1764	
Maltodextrine (mg)	1764	136	
Total flavanols (mg)	0	530	
Total monomers (mg)	0	121	
(-)-Epicatechine (mg)	0	100	
(+)-Catechine (mg)	0	21	
Theobromine (mg)	119	119	
Caffeine (mg)	17	17	

708

709

710 *Table 2. Baseline measures following 6-day (before intake of last dose) cocoa flavanol (CF) or placebo (PL) intake (n=14).*

	<i>PL</i>	<i>CF</i>
(-)-Epicatechin (nM)	35.4 ± 10.8	35.3 ± 9.2
(+)-Catechin (nM)	19.7 ± 10.8	21.7 ± 14.7
Nitrite (nM)	69.9 ± 17.5	81.4 ± 19.9
Nitrate (nM)	43.8 ± 22.9	47.5 ± 21.5
MDA (µmol/L)	1.17 ± 0.25	1.19 ± 0.21
Arg:Citr ratio	1.93 ± 0.56	1.92 ± 0.27
TEAC	442.8 ± 28.7	447.4 ± 49.3
UA (µmol/L)	302.0 ± 39.3	303.5 ± 40.4
MAP (mm Hg)	95.5 ± 7.1	94.0 ± 7.1
FMD (%)	0.56 ± 2.26	2.15 ± 2.19*

711 *MAP: mean arterial pressure, FMD: flow mediated dilation.\* p<0.05 between CF and PL. Epicatechin and catechin, were*  
712 *measured in serum, nitrite, nitrate, malonaldehyde (MDA), Arginine (Arg), Citrullin (Citr), Uric acid (UA) and Trolox Equivalent*  
713 *Antioxidant Capacity (TEAC) were measured in plasma.*

714 Table 3. Effect of 7-day cocoa flavanol intake on physiological changes during moderate and high intensity exercise in hypoxia  
715 and normoxia (n=14).

	PL- N	CF- N	PL- H	CF-H	2-way RM anova (start)	3-way RM anova
SaO <sub>2</sub> - SS						
- Start	97 ± 4	98 ± 1	89 ± 3*	88 ± 6* <sup>£</sup>	S: NS O <sub>2</sub> : F= 122.00 p<0.001	O <sub>2</sub> x S: F=5.11 p=0.05 O <sub>2</sub> x T: F=26.90 p<0.0001  S: F= 6.48 p=0.027 O <sub>2</sub> : F=624.55 p<0.0001 T: F=25.20 p<0.0001
- 5'	97 ± 2	95 ± 4	79 ± 5*	78 ± 5* <sup>£</sup>		
- 10'	97 ± 2	97 ± 1	79 ± 4*	76 ± 4* <sup>£</sup>		
- 15'	95 ± 2	96 ± 1	79 ± 4*	76 ± 3* <sup>£</sup>		
- End	96 ± 2	96 ± 2	80 ± 3*	78 ± 3* <sup>£</sup>		
SaO <sub>2</sub> - TT						
- Start	97 ± 1	97 ± 2	88 ± 1*	89 ± 4*	S: NS O <sub>2</sub> : F=100.3 p<0.001	T x O <sub>2</sub> : F=34.92 p<0.001  S: NS O <sub>2</sub> : F=102.61 p<0.0001 T: F=113.13 p<0.0001
- 5'	93 ± 2	94 ± 2	79 ± 3* <sup>§</sup>	78 ± 2*		
- 10'	93 ± 2	93 ± 2	79 ± 3*	79 ± 3*		
- 15'	93 ± 2	93 ± 2	80 ± 3*	79 ± 3*		
- End	93 ± 2	94 ± 2	80 ± 2*	80 ± 3*		
HR - SS						
- Start	72 ± 5	69 ± 3	72 ± 3	68 ± 3	S: NS O <sub>2</sub> : NS	T x O <sub>2</sub> : F=14.54 p<0.001  S: NS O <sub>2</sub> : F=44.19 p<0.0001 T: F=530.72 p<0.0001
- 5'	122 ± 3	123 ± 3	136 ± 3	136 ± 3		
- 10'	127 ± 3	129 ± 3	141 ± 3	143 ± 3		
- 15'	130 ± 3	132 ± 3	142 ± 2	146 ± 4		
- End	131 ± 3	134 ± 2	146 ± 3	148 ± 3		
HR - TT						
- Start	91 ± 3	95 ± 4	93 ± 2	94 ± 3	S: NS O <sub>2</sub> : NS	T x O <sub>2</sub> : F=8.06 p<0.001  S: NS O <sub>2</sub> : NS T: F=140.60 p<0.0001
- 5'	162 ± 2	165 ± 2	168 ± 3	168 ± 2		
- 10'	170 ± 2	173 ± 2	172 ± 2	171 ± 2		
- 15'	175 ± 2	176 ± 2	173 ± 2	171 ± 2		
- End	180 ± 2	181 ± 2	177 ± 1	176 ± 1		
Lactate - SS						
- Start	1.4 ± .2	1.4 ± .2	1.5 ± .1	1.5 ± .1	S: NS O <sub>2</sub> : NS	T x O <sub>2</sub> : F=34.34 p<0.001  S: NS O <sub>2</sub> : F=30.57 p<0.0001 T: NS
- 10'	1.1 ± .1	1.0 ± .1	1.9 ± .2	1.8 ± .2		
- End	.9 ± .1	.8 ± .1	1.9 ± .2	2.0 ± .3		
Lactate - TT						
- Start	.9 ± .1	.8 ± .1	1.7 ± .2	1.7 ± .2	S: NS O <sub>2</sub> : F= 53.02 p<0.001	T x O <sub>2</sub> : F=4.24 p=0.026  S: NS O <sub>2</sub> : F=22.90 p<0.0001 T: F=109.44 p<0.0001
- 10'	4.2 ± .6	4.5 ± .4	6.9 ± .8	7.2 ± .7		
- End	6.5 ± .8	7.2 ± .8	8.9 ± .6	7.8 ± .7		
RPE- SS					Wilcoxon	Wilcoxon
- 5'	10 ± 1	11 ± 2	11 ± 2	11 ± 2		
- 10'	10 ± 1	11 ± 2	12 ± 2*	12 ± 2*		
- 15'	11 ± 1	11 ± 2	12 ± 2*	12 ± 2*		
- End	11 ± 1	11 ± 2	12 ± 2*	12 ± 2*		
RPE- TT					Wilcoxon	Wilcoxon
- 5'	14 ± 1	14 ± 1	16 ± 1*	16 ± 1*		
- 10'	15 ± 1	16 ± 1	17 ± 1*	17 ± 1*		
- 15'	17 ± 1	17 ± 1	18 ± 1	18 ± 1		
- End	18 ± 1	19 ± 1	19 ± 1	19 ± 1		
Work (kJ) performed						
- 5'					S: NS O <sub>2</sub> : F=46.67 p<0.0001	T x O <sub>2</sub> : F=46.74 p<0.001  S: NS O <sub>2</sub> : F=35.98 p<0.0001 T: F=651.3 p<0.0001
- 10'	79.1 ± 9.2	79.5 ± 10.2	75.2 ± 10.0	74.7 ± 9.1		
- 15'	160.9 ± 20.9	162.8 ± 22.8	147.7 ± 18.7*	147.7 ± 19.1*		
- End	244.0 ± 34.0	246.2 ± 36.0	216.9 ± 27.5*	217.2 ± 28.4*		
	327.5 ± 46.7	330.9 ± 49.9	287.2 ± 37.7*	288.3 ± 37.4*		

716 PL: placebo, CF: cocoa flavanol, H: hypoxia, N: normoxia, SS: steady-state, TT: time trial, SaO<sub>2</sub>: peripheral oxygen saturation,  
717 HR: heart rate, RPE: rate of perceived exertion. \*: p<0.05: main effect of F<sub>1</sub>O<sub>2</sub> (O<sub>2</sub>) (H-PL compared to N-PL and H-CF  
718 compared to N-CF); £: p<0.05: main effect of supplement (S) CF compared to PL); §: p<0.05: main effect of time (T) (compared  
719 to previous timepoint)

720 *Legends for figures*

721 *Figure 1. Interventional exercise protocol, twice executed in hypoxia and twice in normoxia, following 7 days of cocoa flavanol*  
722 *(CF) or placebo (PL) intake. FMD: Flow mediated dilation. NIRS: Near infrared spectroscopy at M. vastus lateralis and*  
723 *prefrontal cerebral cortex. SS: steady state, TT: time trial.*

724 *Figure 2. Effect of 7-day cocoa flavanol (CF, black lines) or placebo (PL, grey lines) supplementation in hypoxia (H, dashed lines*  
725 *and normoxia (N, full lines) on plasma epicatechin (A) and plasma nitrite (B) concentrations. BL: baseline, before intake of the*  
726 *last dose, Pre-SS: at the start of the 20-minute steady state exercise (45% of peak power output), pre-TT: at the start of the 20-min*  
727 *time trial, post-TT: at the end of the 20-min time trial. Mean ± SE presented. £: p<0.05: main effect of supplement.*

728 *Figure 3. Effect of 7-day cocoa flavanol (CF, black lines) or placebo (PL, grey lines) supplementation in hypoxia (H, dashed*  
729 *lines) and normoxia (N, full lines) on exercise-induced changes in plasma arginine:citrulline ratio (A), plasma malondialdehyde*  
730 *concentration (MDA) (µmol/L) (B), plasma trolox equivalent antioxidant capacity (TEAC) (C) and plasma uric acid*  
731 *concentration (UA) (µmol/L) (D). Pre-SS: at the start of the 20-minute steady state exercise (45% of peak power output), Post-*  
732 *TT: at the end of the 20-min time trial. Mean ± SE presented. \*: p<0.05: main effect of F<sub>I</sub>O<sub>2</sub>; £: p<0.05: main effect of*  
733 *supplement; \$: p<0.05: main effect of exercise.*

734 *Figure 4. Effect of 7-day cocoa flavanol (CF, black lines) or placebo (PL, grey lines) supplementation in hypoxia (H, dashed*  
735 *lines) and normoxia (N, full lines) on tissue oxygenation (TSI, %) in the M. vastus lateralis (A) and prefrontal cerebral cortex (B).*  
736 *0-20 min: steady state exercise (45% of peak power output), 20-25 min: passive rest in seated position on the bike, 25-45 min:*  
737 *time trial. Mean ± SE presented. \*: p<0.05: main effect of F<sub>I</sub>O<sub>2</sub>; £: p<0.05: main effect of supplement; \$: p<0.05: main effect of*  
738 *exercise.*











